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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|--------------------------------|---------------|----------------------|-------------------------|------------------|--|
| 10/713,920 | 03/17/2003 | Doug Kennon | BAC161 | 2336 | |
| 75 | 90 05/25/2006 | | EXAM | INER | |
| AMSTED Industries Incorporated | | | DUONG, | DUONG, THO V | |
| Two Prundentia | ıl Plaza | | | <u></u> | |
| 180 North Stets | on Street | | ART UNIT | PAPER NUMBER | |
| Suite 1800 | | | 3753 | | |
| Chicago, IL 60601 | | | DATE MAILED: 05/25/2006 | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | Application No. | Applicant(s) | U | | | |
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| Office Action Summary | 10/713,920 Examinar | KENNON, DOUG | | | | |
| · · · · · · · · · · · · · · · · · · · | Examiner The v. Dunes | Art Unit | | | | |
| The MAILING DATE of this communication app | Tho v. Duong | 3753 | | | | |
| Period for Reply | curs on the cover sheet with the c | orrespondence dadress | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | TE OF THIS COMMUNICATION 6(a). In no event, however, may a reply be tim ill apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONEL | I. ely filed the mailing date of this communication. O (35 U.S.C. § 133). | | | | |
| Status | | | , | | | |
| . 1)⊠ Responsive to communication(s) filed on 13 Se | ptember 2004. | | | | | |
| | | | | | | |
| 3) Since this application is in condition for allowan | | | | | | |
| closed in accordance with the practice under E | x parte Quayle, 1935 C.D. 11, 45 | 3 O.G. 213. | | | | |
| Disposition of Claims | | | | | | |
| 4) Claim(s) 1-14 is/are pending in the application. | • | | | | | |
| 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | |
| 6)⊠ Claim(s) <u>1-14</u> is/are rejected. | S)⊠ Claim(s) <u>1-14</u> is/are rejected. | | | | | |
| 7) Claim(s) is/are objected to. | | | | | | |
| 8) Claim(s) are subject to restriction and/or | election requirement. | | | | | |
| Application Papers | | | | | | |
| 9) The specification is objected to by the Examiner | | | | | | |
| 10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner. | | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | |
| Replacement drawing sheet(s) including the correcti | · · · · · · · · · · · · · · · · · · · | |). | | | |
| 11)☐ The oath or declaration is objected to by the Ex | aminer. Note the attached Office | Action or form PTO-152. | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | |
| 12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of: 1. Certified copies of the priority documents | | -(d) or (f). | | | | |
| 2. Certified copies of the priority documents | | on No | | | | |
| 3. Copies of the certified copies of the prior | | | | | | |
| application from the International Bureau | | 3 | | | | |
| * See the attached detailed Office action for a list of | of the certified copies not receive | d. | | | | |
| | | | | | | |
| • | | | | | | |
| Attachment(s) | • | | | | | |
| 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) | | | | | | |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | Paper No(s)/Mail Da 5) Notice of Informal P | ate atent Application (PTO-152) | | | | |
| Paper No(s)/Mail Date | 6) Other: | | | | | |

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DETAILED ACTION

Claim Objections

Claim 14 is objected to because of the following claim 14 is a duplication of claim 7.

Appropriate correction is required.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-4,7-11 and 14 are rejected under 35 U.S.C. 102(b) as being anticipated by Roberts et al. (US 6,272,876). Roberts discloses (figures 2 and 6) a heat exchanger comprising a plurality of circuits each coil circuit, each extending from an inlet header and an outlet headers (78,134), each coil circuit comprised of elongated tubing (66); a structure (44) supporting the coil circuits, the structure having an inlet and an outlet face; a fan assembly comprising a base support (46) and a fan (62), with the fan mounted on the base support mounted adjacent the inlet face such that air can be drawn by the fan assembly with the inlet face across the coil circuit and out the outlet face; wherein the fan assembly can be readily attached and detached from the inlet face; the structure (44) includes a top portion, bottom portion and a plurality of vertical supports extending vertically between the top portion and the bottom portion; and the fan mounted on the base support such that the base support has a generally flat edge (peripheral edge of 46); the flat edge of the base support being positioned adjacent and attached to the top portion, bottom

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portion and the vertical support of the structure by bolt; the fan assembly includes an extending section (wall of 46) between the flat edge (48,50) of the base support and the fan (19) such that the fan (19) is spaced from the flat edge (48,50). Regarding claims 7 and 14, Roberts further discloses (figure 2) the extending section includes a top section (from fan to edge 50) and a bottom section (from fan to edge 48), and the top section is of a length greater than the length of the bottom section such that the fan is wanted at an angle to the inlet face.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-6 and 8-13 are rejected under 35 U.S.C. 103(a) as being unpatentable over J. Askin in view of F. T. Elder et al. (US 2,401,918). Askin discloses (figures 1-3) a heat exchanger comprising a plurality of circuits each coil circuit comprised of elongated tubing (27); a structure (10) supporting the coil circuits, the structure having an inlet and an outlet face; a fan assembly comprising a base support and a fan (19), with the fan mounted on the base support at compartment (14) mounted adjacent the inlet face such that air can be drawn by the fan assembly with the inlet face across the coil circuit and out the outlet face; wherein the fan assembly can be readily attached and detached from the inlet face; the structure (10) includes a top portion,

bottom portion and a plurality of vertical supports extending vertically between the top portion and the bottom portion; and the fan mounted on the base support such that the base support has a generally flat edge (flange of 16); the flat edge of the base support being positioned adjacent and attached to the top portion, bottom portion and the vertical support of the structure by bolt (22); the fan assembly includes an extending section (21) between the flat edge of the base support and the fan (19) such that the fan (19) is spaced from the flat edge, and wherein the extending section is formed of sheet metal and in uniform dimension such that the fan (19) is mounted in a plane perpendicular to the inlet face. Askin does not disclose that the plurality of coil circuit extending from an inlet header and an outlet header. Elder discloses (figure 4) a coil heat exchanger that has a plurality of coil circuits, each extending from an inlet header (24) and outlet header (26) for a purpose of fluidly connecting all the coil circuits together without having forming the plurality circuits from a long single coil. Since Askin and Elder are both from the same field of endeavor and/or analogous art, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use Elder's teaching in Askin's heat exchanger for a purpose of fluidly connecting all the coil circuits together without having forming the plurality of circuits from a long single coil.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

- C. Boling (US 2,971,750) discloses an evaporative cooling tower.
- A. D. Ames (US 2,386,883) discloses a unit cooler.

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D. L. Higgins (US 1,991,897) discloses a heating and cooling apparatus.

H. A. Whitesel (US 2,063,646) discloses a cooling unit with cooling coil system.

T. J. Nicoski (US 3,545,224) discloses a heat pump apparatus.

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Tho v. Duong whose telephone number is 571-272-4793. The

examiner can normally be reached on M-F (first Friday off).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Keasel Eric can be reached on 571-272-4929. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Tho v Duong

Primary Examiner

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May 18, 2006